

Closing Seminar of the ACROPOLIS group 'Aid Effectiveness with a focus on Fragile Contexts' (2017)

Long-Term
Policy Supporting
Research (PSR)
2020

18-11-2019





ACADÉMIE DE RECHERCHE ET D'ENSEIGNEMENT SUPÉRIFUR



# **Summary**

Budget	€ 386.496,21	
	(€ 214.428,30 ARES part, € 172.067,91 VLIR-UOS part)	
Duration	March 2020 – February 2021 (with possibility of prolongation until 31 December 2021)	
Eligibility	Researchers of Belgian higher education institutions, both universities and university colleges For ARES: minimum 75% of the budget for institutions of Federation Wallonia-Brussels. For VLIR-UOS: minimum 75% of the budget for Flemish higher education institutions.	
Country & themes	<ul> <li>Belgium (with case studies in countries in the South). Selected themes:</li> <li>1. Support to Human Rights Based Approach to Development.</li> <li>2. Climate and Security: challenges and opportunities for the Belgian Development Cooperation.</li> </ul>	
Launch of the call	20 December 2019	
Information session	17 January 2020, 14u-16u @ DGD (subscribe here)	
Deadline submission proposals	14 February 2020, 17.00  - For ARES: noemie.nyst@ares-ac.be  - For VLIR-UOS: inge.vandevyvere@vliruos.be (ICOS/GEO of your institution in cc)	
Approval of selected proposals	2 March 2020	

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#### 1. Context

### Framework of the Policy Supporting Research (PSR) programme

Policy supporting research delivers research output on specific themes of interest to Belgian development cooperation on demand of the Belgian government. The goal is to **improve the relevance and performance of Belgian development cooperation policy** with regard to the international framework of sustainable and inclusive development.

As from 1 January 2019 and upon request from the government, the previous ACROPOLIS policy supporting research programme of ARES and VLIR-UOS were adjusted into the **Policy Supporting Research (PSR) programme** for a period of three years (2019-2021). The new approach to PSR remains an integral part of the 5 - year programme (2017-2021) for university cooperation for development of the umbrella organizations VLIR-UOS and ARES. This has been validated by the Minister of Development Cooperation on the 11<sup>th</sup> of June 2018.

The three-year period is regarded as an **experiment** in which new ways of working can be tried out broadening the expertise, opening up competition, varying the nature of questions, and varying funding modalities. The new approach will be assessed before the end of 2021 to determine whether the objectives of the new approach of policy support to the Belgian Directorate-General for Development Cooperation and Humanitarian Aid were met and whether the new approach is effective, as compared to other forms of policy supporting research from the past. This evaluation will serve as an input for the preparation of the next programme for university cooperation for development (2022-2026).

PSR is set up to meet the needs of the **Belgian development cooperation administration**, DGD. The aim is to deepen the knowledge on themes that are of prime importance for the Belgian development cooperation, linked to building knowledge and capacity of DGD. The PSR therefore also takes into account the inventory of the training needs and the training plans of DGD. Knowledge and capacity building in the universities in the subjects, is a positive element, but is not an end in itself of the PSR. The PSR is conducted in a hands-on and user-friendly way that enables practical applications in DGD (uptake), as well as dissemination and use by third parties (outreach).

Two types of policy supporting research are implemented: long-term PSR and short-term PSR. The current call considers the **long-term PSR**, which allows to delve deeper into a subject. This type of research is closely related to the training, research and overall support needs of DGD and offers a wider range of services such as detailed advice, practical guidelines, complete training modules, scientific advisory services, etc. The short-term PSR support serves to get advice quickly, without extensive research. The products may include background notes, comments and recommendations, possibly supplemented with presentations, short-term studies, expertise that is accessible to DGD, courses and workshops, etc.

In principal once a year, a PSR **policy commission** (consisting of DGD, VLIR-UOS, ARES and the cabinet) meets to discuss current PSR projects, results and uptake, and discusses matters of general interest for PSR. The policy committee annually evaluates the functioning of the PSR and proposes adjustments if necessary.

**Gender-balanced and diverse research teams are encouraged** by ARES and VLIR-UOS. Research shows that diversity makes a research team stronger. Gender-mixed academic teams seem to be developing more creative and innovative solutions, achieving better results and producing more interdisciplinary and cited publications.

### Call 2020 for long-term PSR

After an internal consultation of DGD, VLIR-UOS and ARES received on 31 October 2019 the Terms of Reference elaborated by DGD for long-term PSR regarding the following two **themes** (ToR included in annex 1 and 2):

- 1. Support to Human Rights Based Approach to Development.
- 2. Climate and Security: challenges and opportunities for the Belgian Development Cooperation.

The term of the PSR is **one year**, renewable after an assessment of the products and the continued needs. The research group shall be invited by DGD to elaborate a prolongation proposal.

## 2. Objectives

Policy supporting research delivers research output on specific themes of interest to Belgian development cooperation on demand of the Belgian government. The goal of PSR is to deepen the knowledge on themes that are of prime importance for the Belgian development cooperation, linked to building knowledge and capacity of DGD.

## 3. Budget

The available budget for this call is € 386.496,21, of which € 214.428,30 is contributed by ARES and € 172.067,91 is contributed by VLIR-UOS.

A daily fee of 1.000 EUR all-inclusive is agreed upon. The PSR funding system is based on the daily fee, multiplied by the number of days which are deemed necessary for the implementation of the project. The number of days are to be explicitly justified in the project proposal on the basis of the plan of action and research methodology, and also need to be approved formally by DGD. All-inclusive means that the implementing university receives the calculated budget (daily fee \* number of days), from which all corresponding costs need to be paid: salaries, operational costs, mission related costs, possible institutional overhead, etc..

These costs are considered a **lump sum** amount in both budgeting and reporting, whereby the latter implies that, in principle, these expenses do not need to be accounted for by detailed supporting documents for individual expenses, since the PSR funding system has formally been accepted by DGD. The Belgian higher education institutions are accountable for these amounts and, if required during an external audit or control by DGD, supporting documents need to be available at the level of the Belgian higher education institutions in order to justify this type of costs. Specific costs, however, cannot be rejected since the DGD funding is a lump sum payment. Financial reporting will consist of a declaration of receipt and an extract from the institution's analytical accounting system. The extract may follow the budget lines for investments, operational and personnel costs as used by the institution. The overhead cannot exceed 10% of the total expenditure.

## 4. Eligibility

Researchers of Belgian higher education institutions, both universities and university colleges, are eligible to submit for this call. The proposal must meet the application and submission requirements as described in section 6.

If the proposal is submitted by a lead researcher of an institution from the Federation Wallonia-Brussels (FWB), this additional rule will be applied: at least 75% of the budget will be assigned to HEI members of ARES. The collaboration with non-members of FWB is permitted within the limit of 25% of the budget. If the proposal is submitted by a lead researcher of a Flemish higher education institution, this additional rule will be applied: at least 75% of the budget will be assigned to Flemish HEI members of VLIR-UOS. The collaboration with non-members of Flemish HEI is permitted within the limit of 25% of the budget.

ARES and VLIR-UOS will check the eligibility of the proposals and submit the eligible proposals to DGD on the 14<sup>th</sup> of February 2020.

### 5. Selection

DGD is responsible for the selection of the proposals, whereby the quality of the intended output and the intended impact on development cooperation are the main objectives. Selection criteria include: the expertise and previous experiences, the proposed approach, both research methodology and plan of collaboration with DGD. DGD has elaborated following evaluation grid:

- Quality of the team and network
  - o General references of the researchers
  - Experience in the research topic
  - Knowledge of the Belgian development cooperation, DGD and the partner countries
  - Interuniversity and interdisciplinary cooperation, repartition of tasks within the team, networking with other instances outside universities, involvement of universities and researchers in the partner countries
- Quality of the research project
  - Good and clear presentation of the project and the methodology/working methods
  - Relevance of the project for the knowledge and capacity needs of DGD
  - Concise description of the results which should be achieved and the deliverable products
  - Realistic planning and budgeting

**Collaborations are encouraged** if they are an added value for the intended quality of the PSR: interuniversity partnerships within Belgium, links with university partners from the South, collaborations with other partners of the Belgian Development Cooperation and cooperation within global networks. Cooperation between PSR and non-university centres and networks is also encouraged in case it adds on quality. Collaborations are taken into account by DGD in case 2 projects are equally ranked.

The institution of the lead researcher determines whether the proposal should be submitted to ARES or VLIR-UOS, and whether the proposal, if selected, should be funded by ARES or VLIR-UOS.

Selection will be done before **2 March 2020**. DGD will justify the reasons for selection and non-selection. Communication of selection will be done by VLIR-UOS and ARES respectively.

## 6. Application and submission modalities

#### **Proposal**

Proposals are elaborated in English. A proposal needs to be presented in the provided format (see annex 3). The proposal has to elaborate on:

- The presentation of the research team, and, in case of a consortium, the constituent parties (members, relevant expertise);
- The plan of action and the research methodology (objectives, methodology, results, products);
- The strategy for uptake and outreach (practical applications for DGD and use by third parties);
- The collaborations foreseen with other parties (university partners from the South, other partners of the Belgian Development Cooperation, global networks, non-university centres and networks);
- The budget.

The term of the proposal is in principle **one year**. If the research team judges that several years are necessary to reach the results asked for in the ToR, the proposal should focus on the products that can be delivered during the first year.

### Support during the formulation

#### Contact at DGD

For each theme, responsible persons at DGD are appointed. These persons were responsible for the preparation of the terms of reference and will organize the selection committee that assigns the proposals. They will also supervise the execution (contacts with the researchers, technical guidance committee, organization of activities) and are responsible for quality control of the process and the products.

For more information, please contact:

- 1. Hans Joppen D2.5 on ToR 'Support to Human Rights Based Approach to Development'.
- 2. <u>Christian de Lannoy</u> & <u>Liesbeth Loddewyckx</u> MD8 on ToR 'Climate and Security: challenges and opportunities for the Belgian Development Cooperation'.

#### Information session

Information sessions for interested researchers will be organised on the 17<sup>th</sup> of January 2020, 14h-16h, at the offices of DGD. The contact persons at DGD will present their research topic and will give more information on the Terms of References during these sessions to interested academics, followed by a Q&A session. Intention is to allow interested academics to get a good understanding of the specific demands from the government, in order to know whether they can offer what is needed and in order to be better informed to formulate a tailor made proposal. ARES and VLIR-UOS will briefly explain the logical aspects of a PSR project (financing, contracting and follow-up). Subscription can be done <a href="here.">here.</a>

#### **Submission**

The proposals are to be sent by mail to the programme managers of VLIR-UOS and ARES (see contact in table below). The institution of the lead researcher determines whether the proposal should be submitted to ARES or VLIR-UOS. The deadline for submission is **14 February 2020**, **17.00**.

Contact	Function	
noemie.nyst@ares-ac.be	Programme manager ARES	
inge.vandevyvere@vliruos.be	Programme manager VLIR-UOS	

For the Flemish universities: when submitting a proposal to VLIR-UOS, please add the following ICOS (Institutional Coordinator for Development Cooperation) in cc when submitting the proposal by mail to VLIR-UOS.

Contact	Function
tupac.calfat@kuleuven.be	ICOS KULeuven
jiska.verbouw@uantwerpen.be	ICOS UAntwerpen
veerle.devriendt@ugent.be	ICOS UGent
sofie.ignoul@uhasselt.be	ICOS UHasselt
francoise.de.cupere@vub.be	ICOS VUB

For the Flemish university colleges: when submitting a proposal to VLIR-UOS, please add Charlotte Christiaens (<a href="mailto:charlotte.christiaens@vlhora.be">charlotte.christiaens@vlhora.be</a>) and the following GEO (Global Engagement Officer) in cc when submitting the proposal by mail to VLIR-UOS.

Email	Function	
international@hzs.be	IGEO Antwerp Maritime Academy	
geert.marrin@ap.be	GEO Artesis Plantijn University College Antwerp	
severine.vanderstighelen@arteveldehs.be	GEO Artevelde University College Ghent	
hilde.van.lindt@ehb.be	GEO Erasmus University College Brussels	
goforimpact@howest.be	GEO Hogeschool West-Vlaanderen	
maayke.nabuurs@kdg.be	GEO Karel de Grote University College	
veerle.vandersluys@luca-arts.be	GEO LUCA School of Arts	
nele.vermeulen@odisee.be	GEO Odisee University College	
kristien.bauwens@pxl.be	GEO PXL University College	
Inge.vervoort@thomasmore.be	GEO Thomas More University of Applied Sciences	
international@ucll.be	GEO UC Leuven-Limburg	
kathleen.vanheule@hogent.be	GEO University College Ghent	
international@vives.be	GEO VIVES University of Applied Sciences	

# 7. Timeline

Description	Deadline
Launch call for proposals by VLIR-UOS and ARES	20 December 2019
Information sessions @ DGD	17 January 2020, 14u-16u @ DGD
Deadline for submission at VLIR-UOS and ARES	14 February 2020
Selection of proposals by DGD	2 March 2020
Communication of selection results	2 March 2020
Start of the PSR	2 March 2020

## **Annexes**

Annex 1: ToR 'Support to Human Rights Based Approach to Development'

Annex 2: <u>ToR 'Climate and Security: challenges and opportunities for the Belgian Development Cooperation'</u>

Annex 3: Proposal Format

## **Abbreviations**

ACROPOLIS Academic Research Groups for Policy Support

ARES Académie de Recherche et d'Enseignement Supérieur

DGD Belgian Directorate-General for Development Cooperation and Humanitarian Aid

GEO Global Engagement Officer (at University Colleges)

ICOS Institutional Coordinator for Development Cooperation (at Universities)

PSR Policy Supporting Research

ToR Terms of Reference

VLIR-UOS Vlaamse Interuniversitaire Raad (Flemish Interuniversity Council) - Universitaire

Ontwikkelingssamenwerking (VLIR-University Cooperation for Development)